

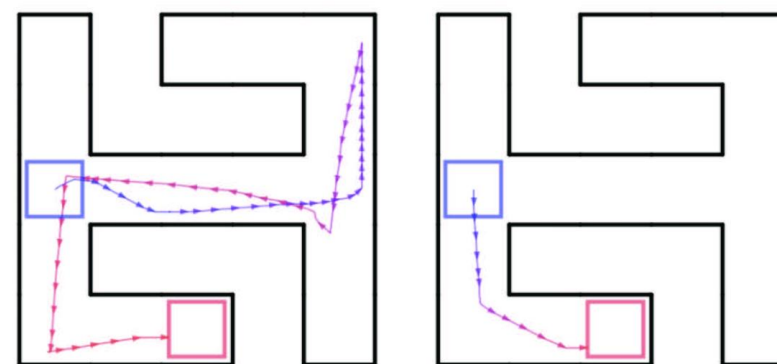
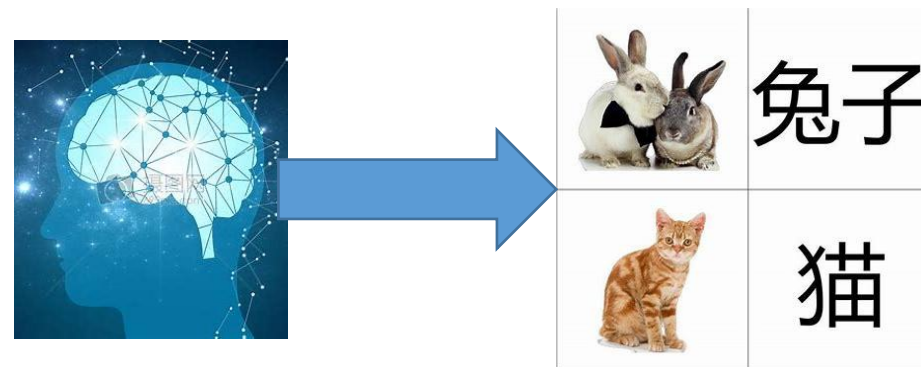
Few-Shot Learning

in views of
Meta, Metric and Prompt Learning

Chenyou Fan
School of Artificial Intelligence, SCNU

Few-Shot Learning - 少样本(小样本)学习

- Humans can recognize new concepts very quickly
- Humans can learn to play a game by just observing a few game replays.



learning to quickly navigate new mazes
Duan et al. '16







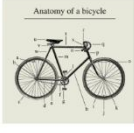


Few-Shot Learning

Training (huge)

Train dataset #1: "cat-bird"

cats					
birds					

Train dataset #2: "flower-bike"

flowers					
bikes					

Support (few)

Test dataset: "dog-otter"

dogs					
otters					

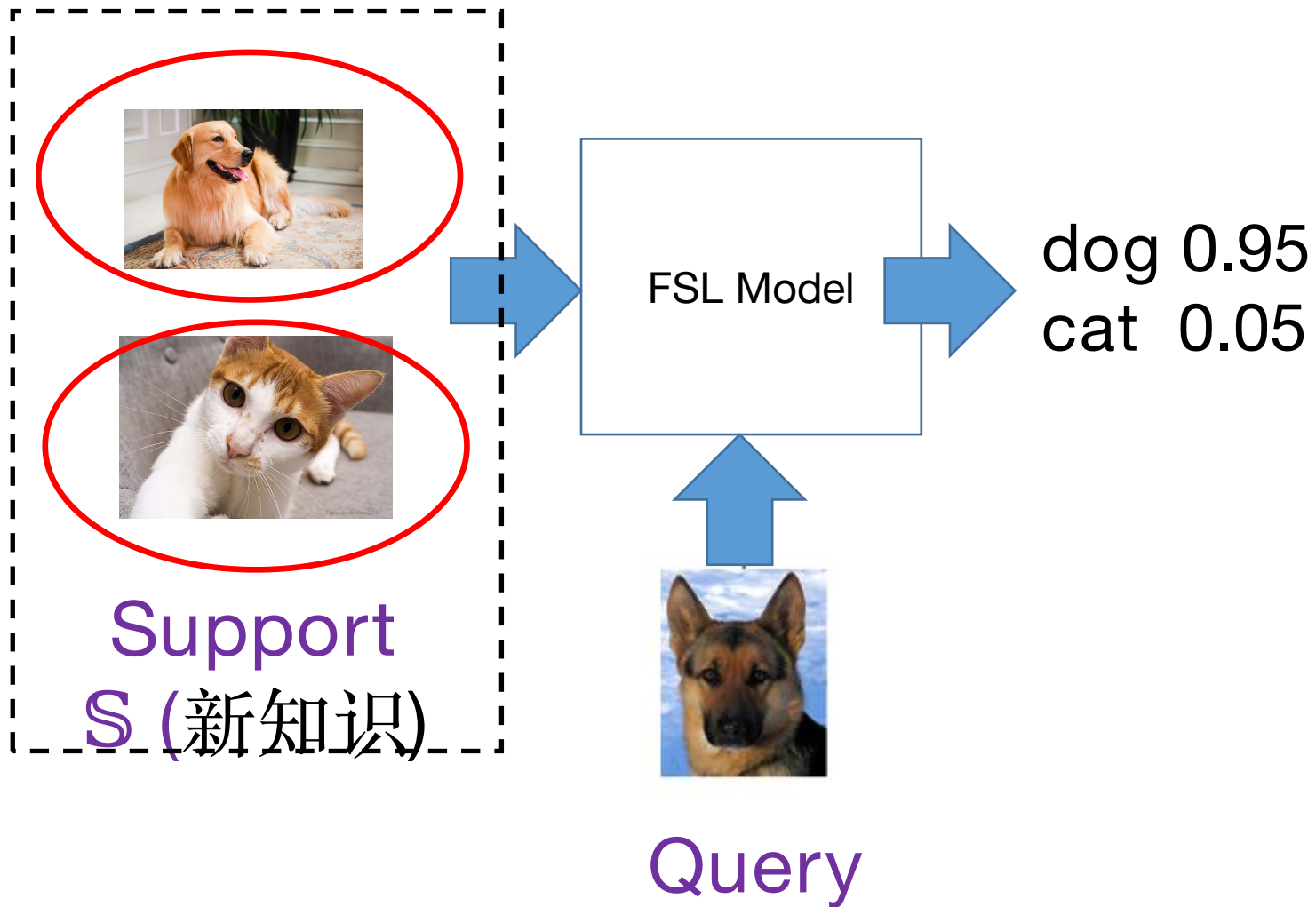
N-way K-shot classification task

- The Support set (\mathcal{S}) contains $N \cdot K$ data instances (新知识)
- N-way: there are N new data classes
- K-shot: each class has K data instances. ($K = 1, 5, \text{etc}$)
- Task: for a new query data, find its correct label (1-of- N classification)
- Training set (\mathbb{T}) has **non-overlapping** classes with support set. (一般知识)
- Learn a model on \mathbb{T} (大样本) which can quickly apply to \mathcal{S} (少样本).

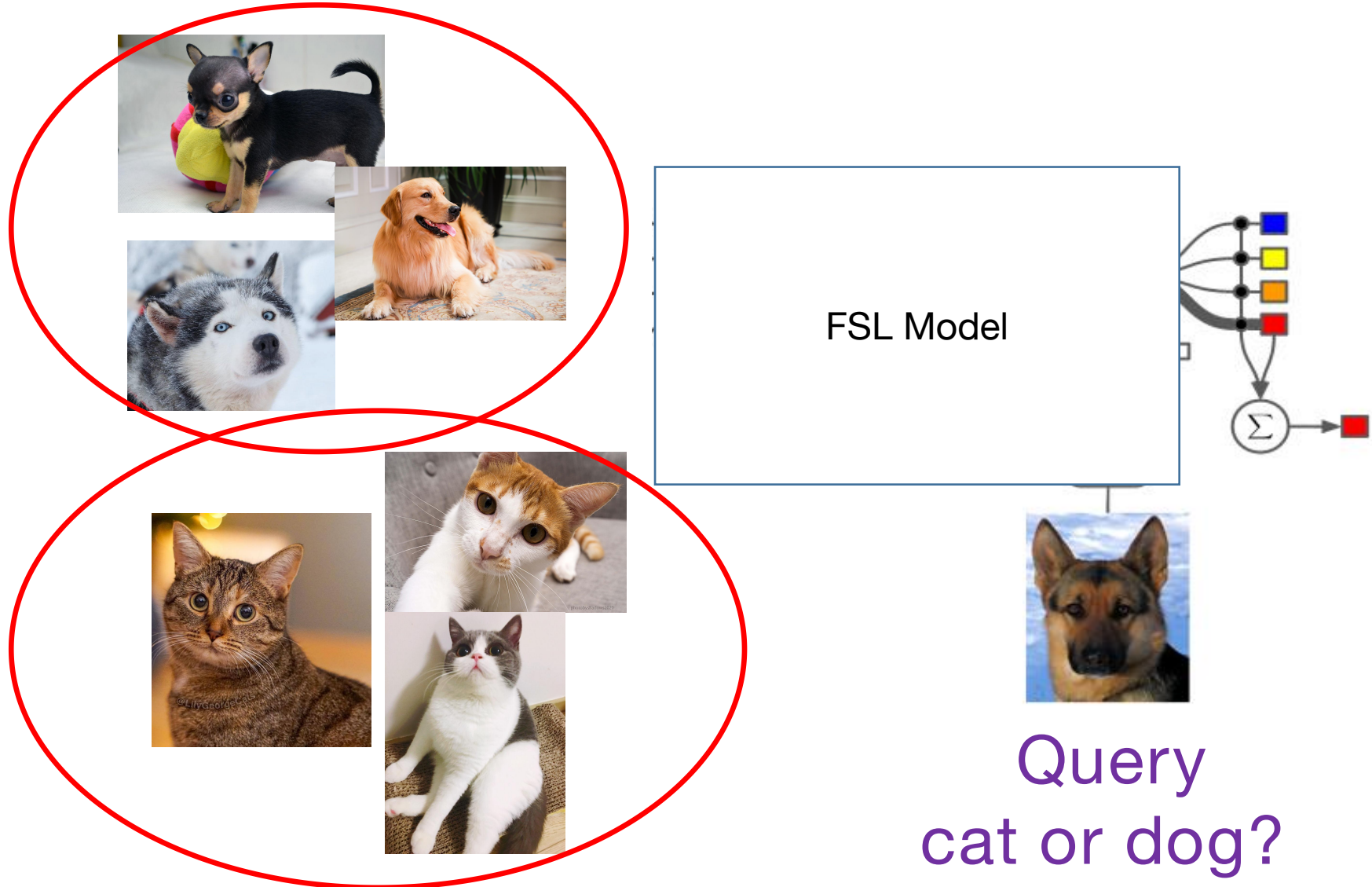
Demo of 2-Way 1-shot FSL

2 animal classes
(2-way)

Each class has ONE
data (1-shot)



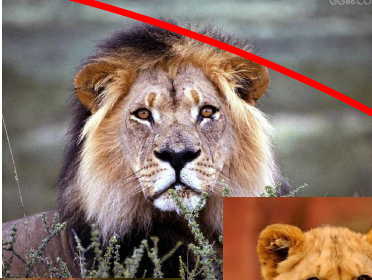
Demo of 2-Way 3-shot FSL



Training Set (common knowledge)



○ ○ ○ ○



○ ○ ○ ○



○ ○ ○ ○



○ ○ ○ ○

Non-overlapping
with Support Set

Training (一般知识)



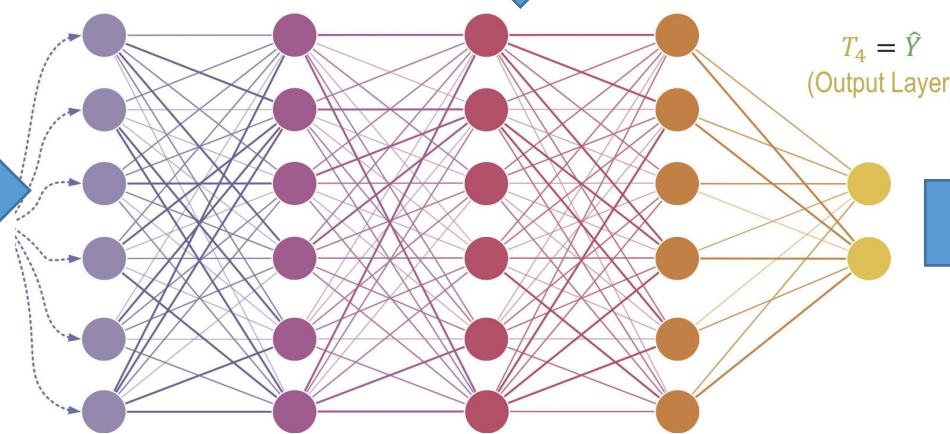
Support (新知识)



Query



Algorithm & Model



$T_4 = \hat{Y}$
(Output Layer)

dog

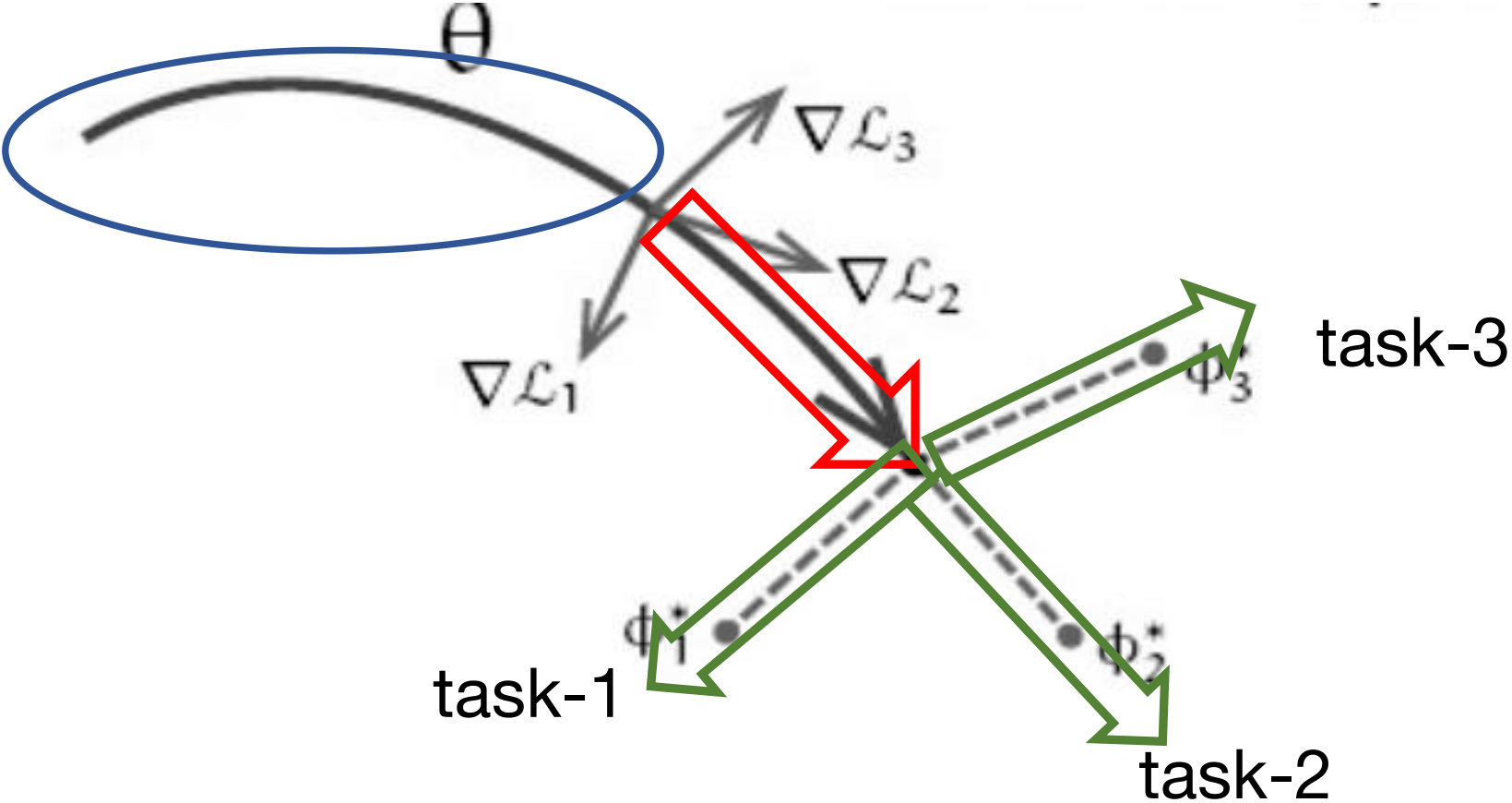
Topic-1 - FSL with **Meta Learning** (元学习)

- Meta-Learning is **learning to learn**;
- Meta-Learning searches a set of optimal parameter
- which can adapt to N-way K-shot FSL tasks.

Topic-1 - FSL with **Meta Learning** (元学习)

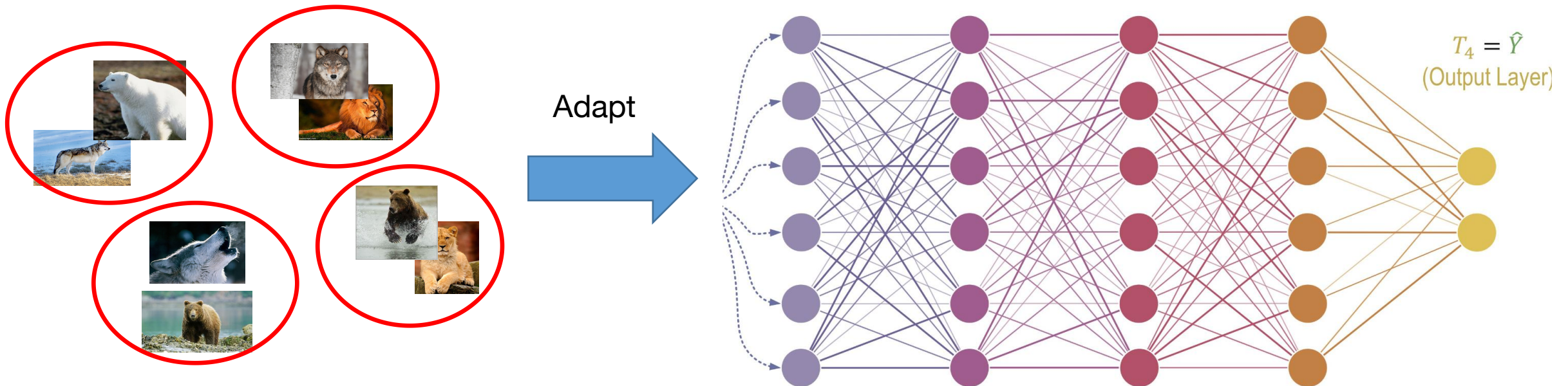
- In DL context, Meta-learning constructs a base-NN
- The base-NN can tweak its parameters on a new task (with a few data) with SGD to form a **target-NN**.
- The **target-NN** performs significantly better than base-NN on the new task.

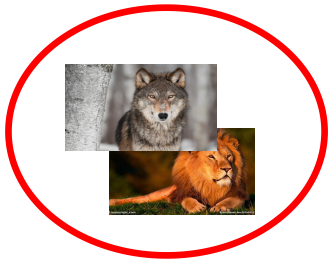
Topic-1 - FSL with **Meta Learning** (元学习)



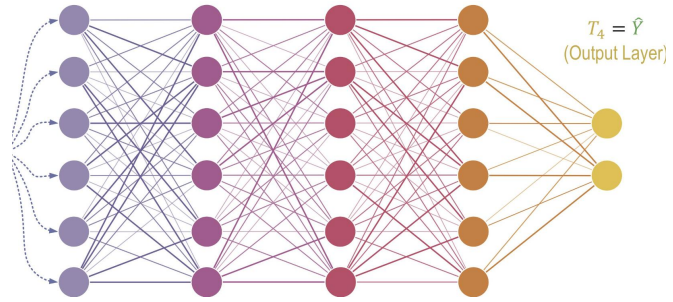
Meta-training stage-1: construct base-NN

- Sample batches of (K-way N-shot) tasks as support data
- Adapt the base-NN θ to each task with SGD $\theta' = \theta - \alpha \nabla L(f_{\theta})$





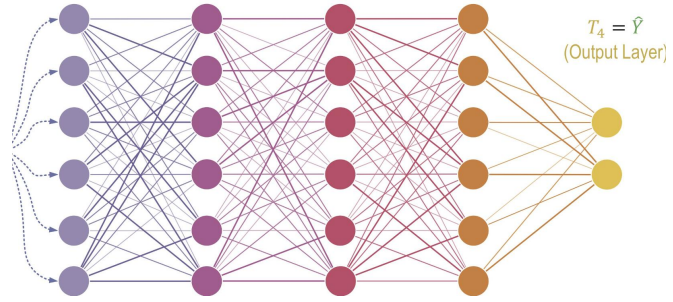
Adapt



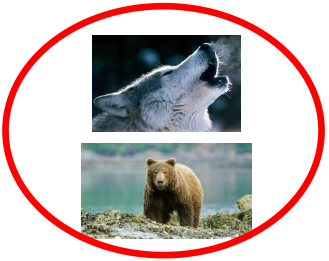
θ_1'



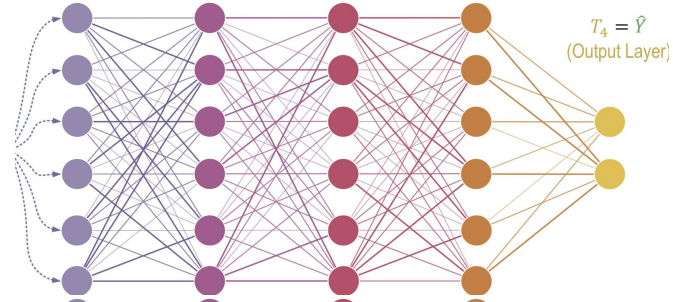
Adapt



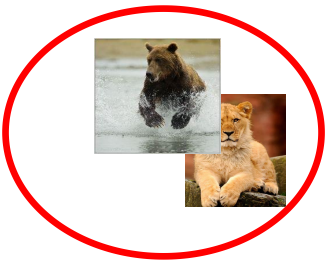
θ_2'



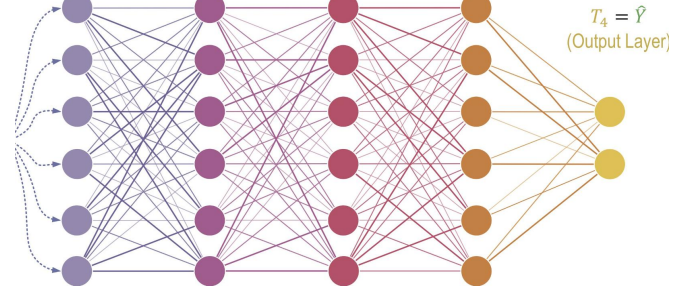
Adapt



θ_3'



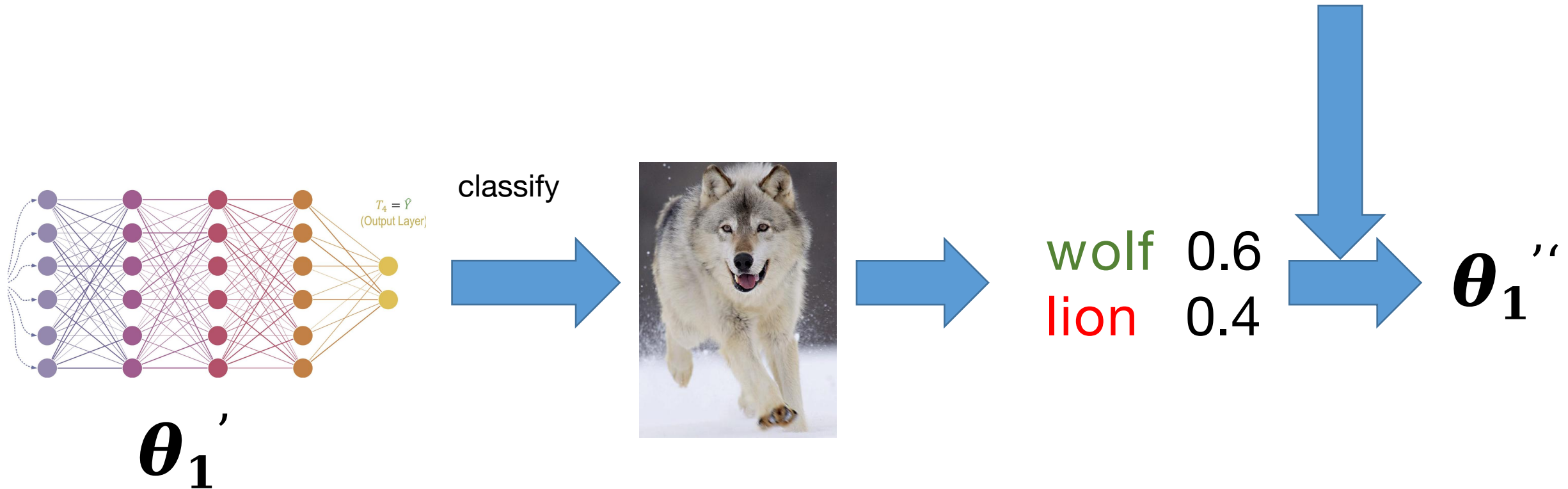
Adapt

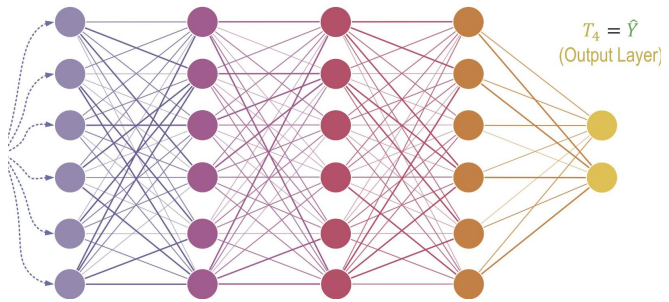


θ_4'

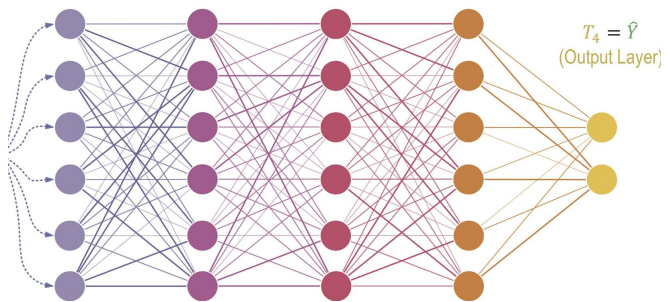
Meta-training stage-2: optimize target-NN

- Given a query image and its correct label
- Optimize the target-NN by another SGD $\theta'' = \theta' - \beta \nabla L(f_{\theta'})$

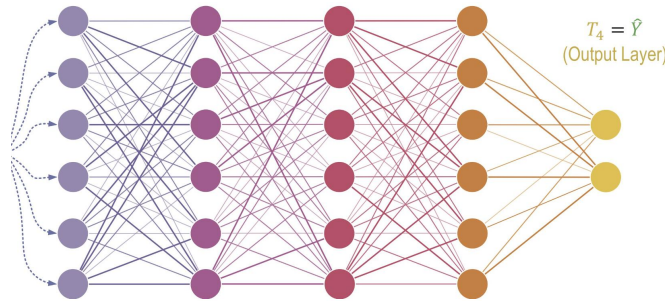




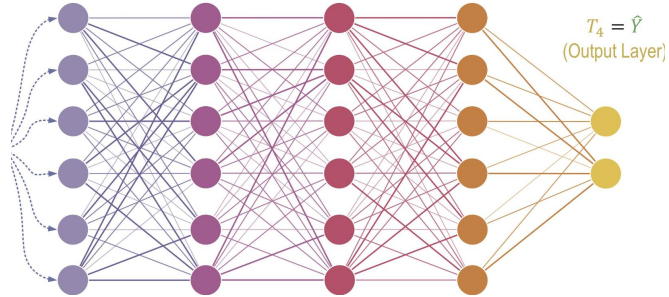
θ_1''



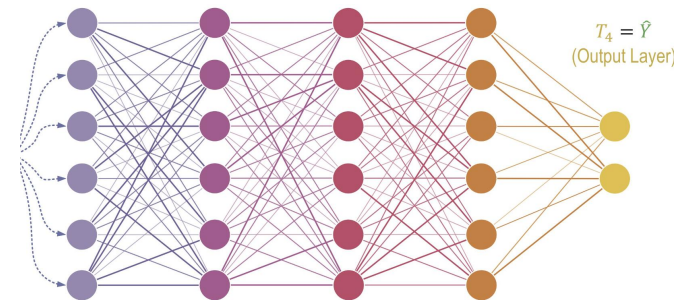
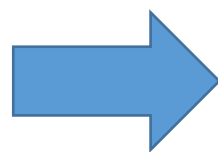
θ_2''



θ_3''



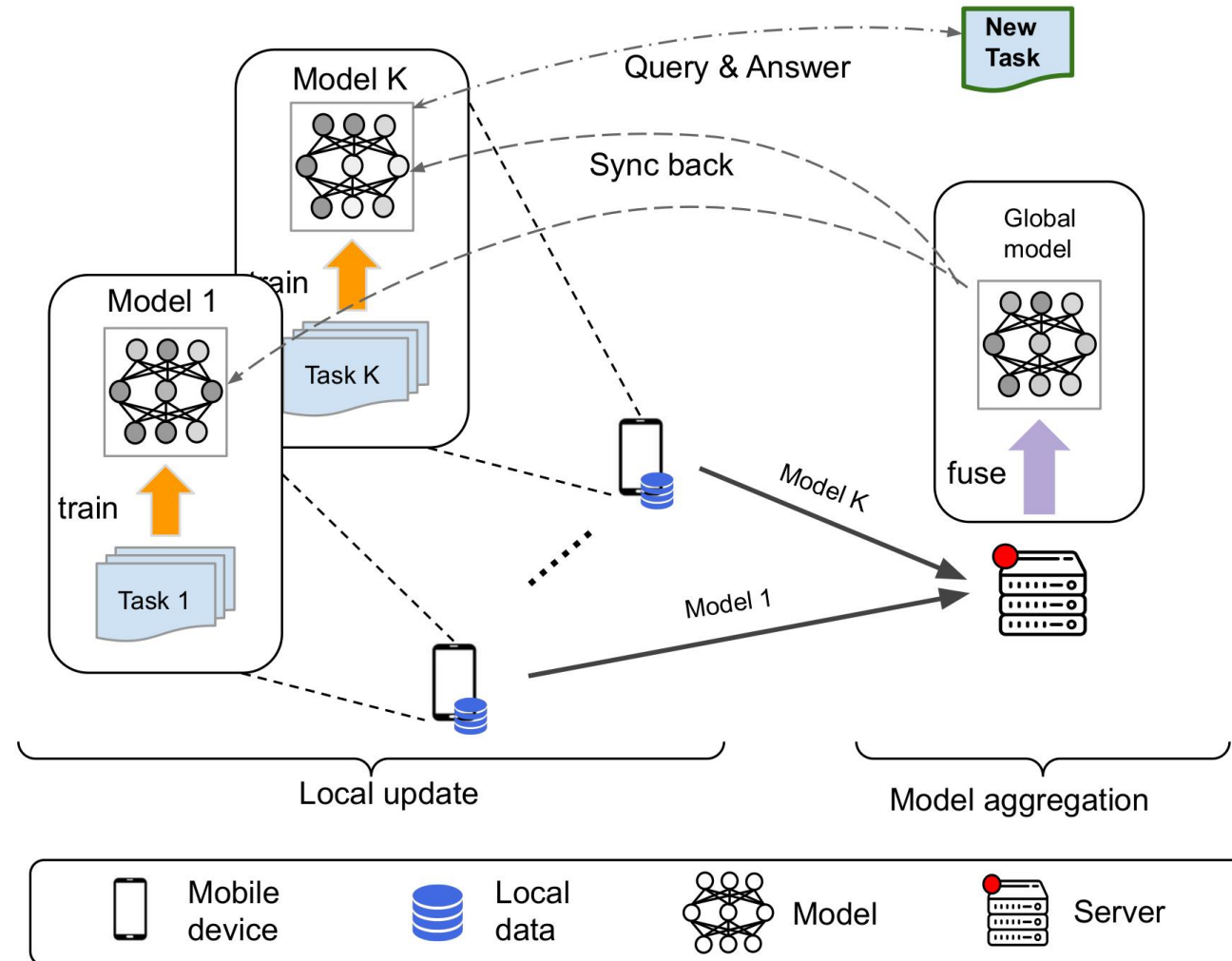
θ_4''



Overview of Few-Shot Federated Learning (FedFSL)

Few-shot image classification task

- Stage-1: [Local Update] multiple clients are training with local data and perform local update in parallel
- Stage-2: [Model Aggregation] each client sends its model to a central server for aggregation to a global model
- Stage-3: [Synchronize] the global model is synchronized back to each client for next round of training



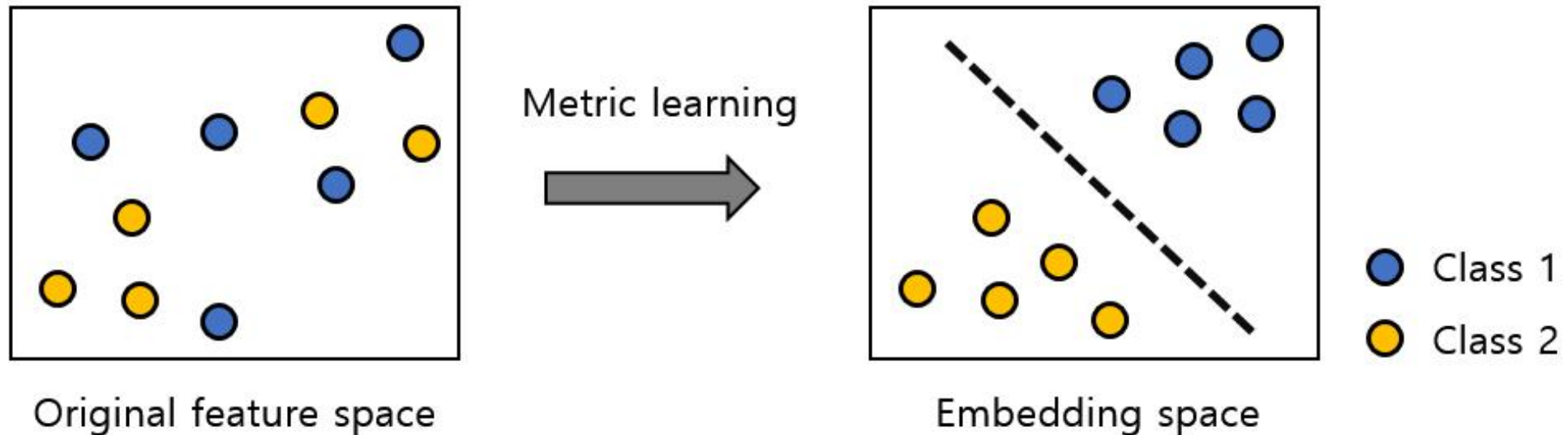
Federated Few-Shot Learning with Adversarial Learning. Fan et al., 2021

Topic-2 - FSL based on Metric Learning

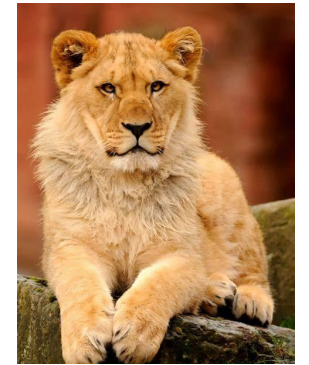
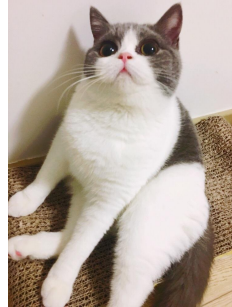
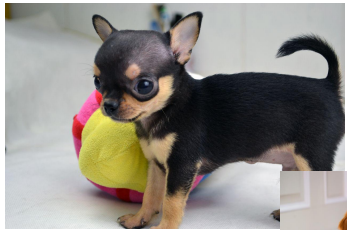
- 度量学习
- Matching Net [Vinyals et al., 2016]
- Prototypical [Snell et al., 2017]
- DeepEMD [Zhang et al., 2020]

Topic-2 - FSL based on Metric Learning

- Learning a feature space in which
 - Data samples of a same class are close;
 - Data samples of different classes are far away.



Demo of Metric Learning



Matching Net [Vinyals et al., 2016]

- For a query image, find the most similar support image in support set and assign that label.

- Learn the distance metric A

$$\bullet p(\text{dog}) = A\left(\begin{array}{c} \text{Query } x' \\ \text{Image of German Shepherd} \end{array}, \begin{array}{c} \text{Support } x \\ \text{Image of Golden Retriever} \end{array}\right) \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\bullet p(\text{dog}) = A\left(\begin{array}{c} \text{Query } x' \\ \text{Image of German Shepherd} \end{array}, \begin{array}{c} \text{Support } x \\ \text{Image of Orange and White Cat} \end{array}\right) \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Query x'



dog?

cat?

Support



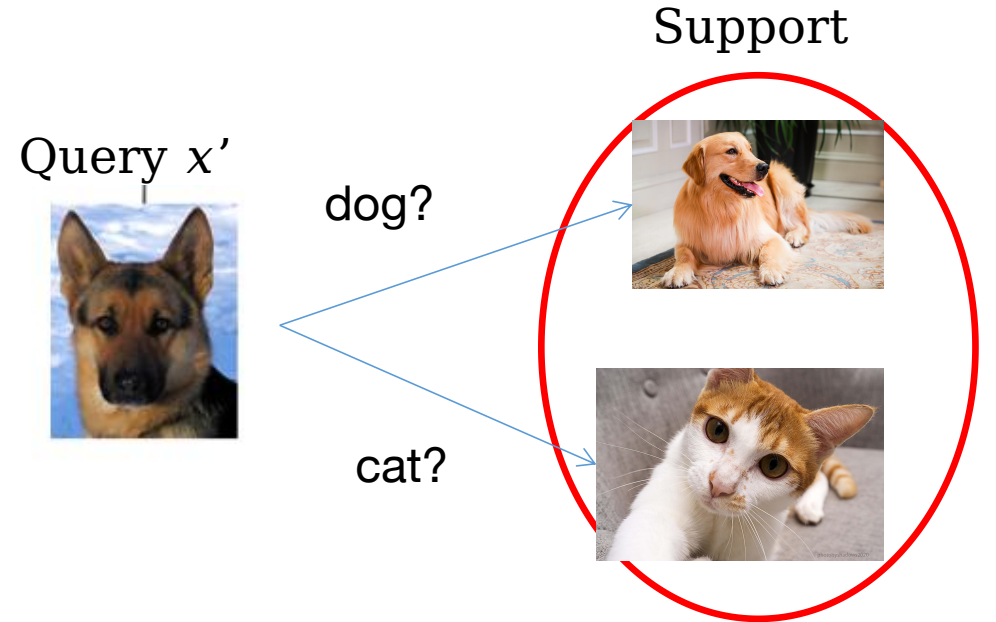
Matching Net [Vinyals et al., 2016]

- Let training set $S = \{(x_i, y_i)\}_{i=1}^k$

- $y' = \sum_{i=1}^k A(x', x_i) y_i$

- $p(\text{dog}) = A\left(\begin{array}{c} \text{Query } x' \\ \text{Image of German Shepherd} \end{array}, \begin{array}{c} \text{Image of Golden Retriever} \end{array}\right) \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

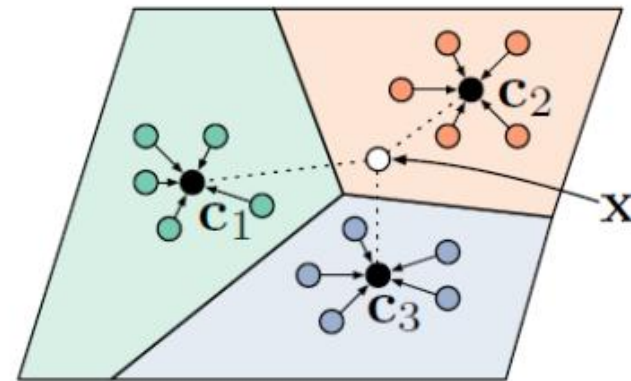
- $p(\text{cat}) = A\left(\begin{array}{c} \text{Query } x' \\ \text{Image of German Shepherd} \end{array}, \begin{array}{c} \text{Image of Orange and White Cat} \end{array}\right) \begin{pmatrix} 0 \\ 1 \end{pmatrix}$



Prototypical Network [Snell et al., 2017]

- Define c_n as the centroid of n -th class.

$$c_n = \frac{1}{|S_k|} \sum_{(x_i, z_i) \in S_k} f_w(x_i)$$



- The metric-based FSL objective is the cross-entropy loss

$$\min_{w, \pi} \mathcal{L}(w, \pi) = \sum_{i=1}^{|S|} \log \frac{\exp(-d(f_w(x_i), c_n))}{\sum_{n'} \exp(-d(f_w(x_i), c_{n'}))}$$

in which d is a distance function such as Euclidean.

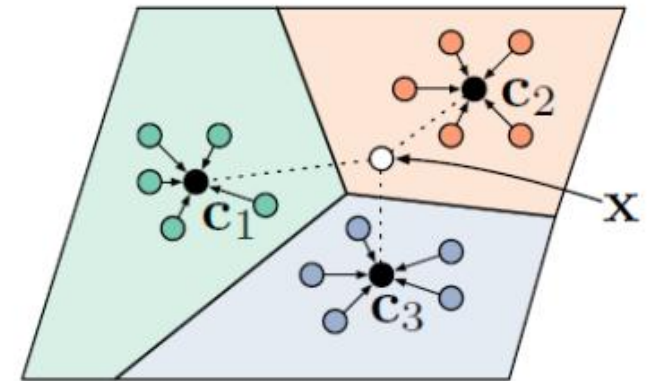
Prototypical Network [Snell et al., 2017]

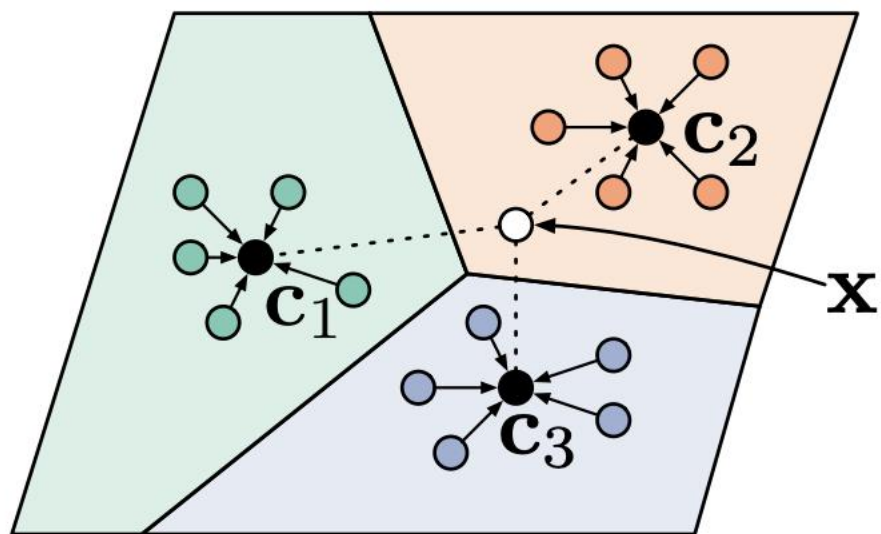
- Conventional wisdom: iteratively and alternatively update w, π .
- Update π by its K nearest neighbors $z_\pi(i) = \arg \min_{n'} d(f(x_i), \mathbf{c}_{n'})$.
- Update c_n by the average features from its K nearest neighbors

$$c_n = \frac{1}{|S_k|} \sum_{(x_i, z_i) \in S_k} f_w(x_i)$$

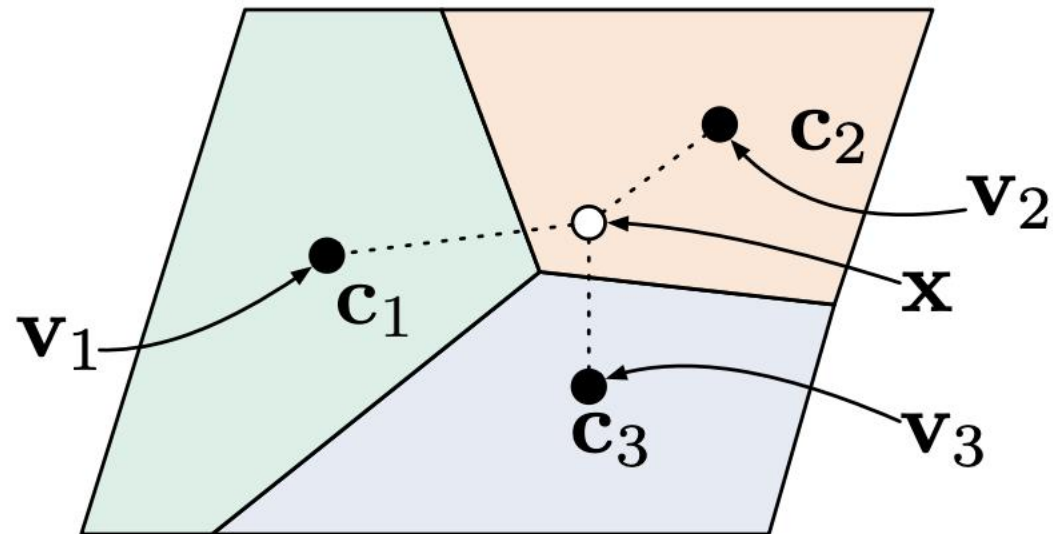
- Update w with gradient steps

$$w \leftarrow w - \eta \nabla_w \mathcal{L}(w, \pi)$$





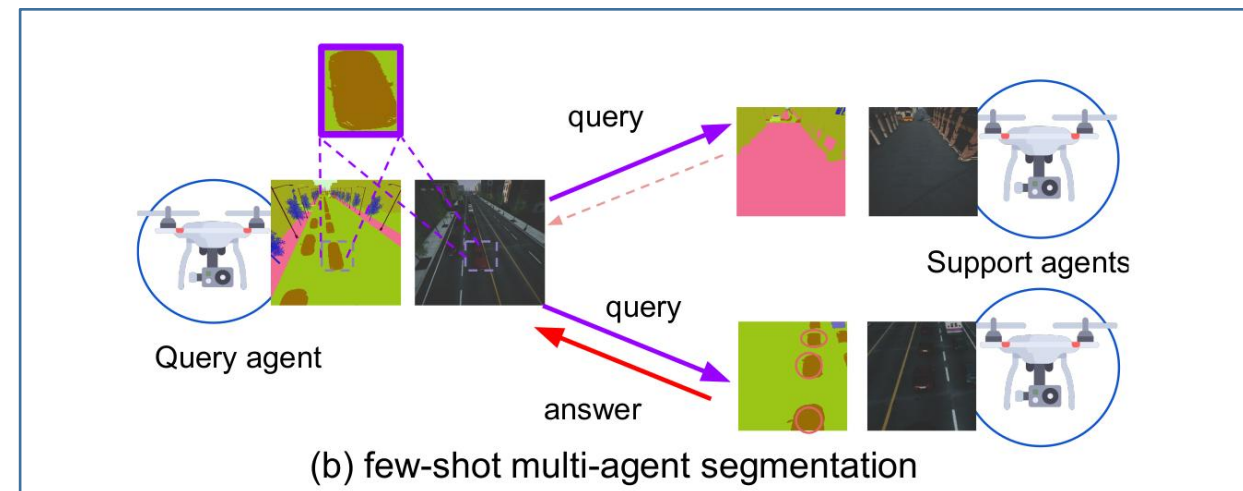
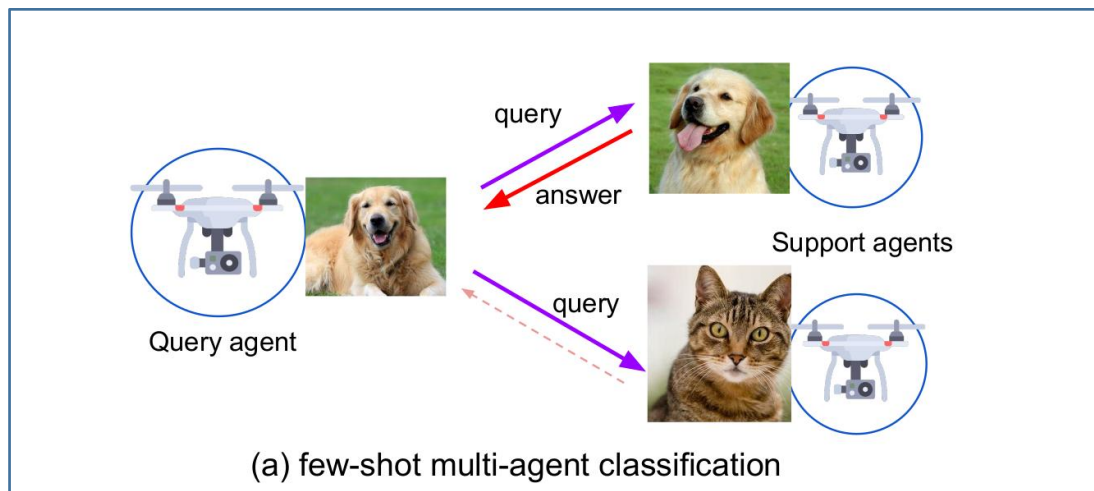
(a) Few-shot



(b) Zero-shot

Figure 1: Prototypical Networks in the few-shot and zero-shot scenarios. **Left:** Few-shot prototypes \mathbf{c}_k are computed as the mean of embedded support examples for each class. **Right:** Zero-shot prototypes \mathbf{c}_k are produced by embedding class meta-data \mathbf{v}_k . In either case, embedded query points are classified via a softmax over distances to class prototypes: $p_\phi(y = k|\mathbf{x}) \propto \exp(-d(f_\phi(\mathbf{x}), \mathbf{c}_k))$.

Distributed Metric-Learning



"Few-Shot Multi-Agent Perception." Fan et al., 2021, ACM MM.

Topic-3 - FSL with Prompt Learning (提示学习)

Definition

- specify an NLP task as a template
- then use a pre-trained language model (PLM) to interpret and answer
- convert the answers to task labels

Zero-Shot

```
1 Translate English to French: ← task description
2 cheese => ..... ← prompt (提示)
```

One-Shot

```
1 Translate English to French: ← task description
2 sea otter => loutre de mer ← example
3 cheese => ..... ← prompt
```

Few-Shot

```
1 Translate English to French: ← task description
2 sea otter => loutre de mer ← examples
3 peppermint => menthe poivrée ←
4 plush girafe => girafe peluche ←
5 cheese => ..... ← prompt
```

🟡 Zero-Shot

1 Translate English to French:

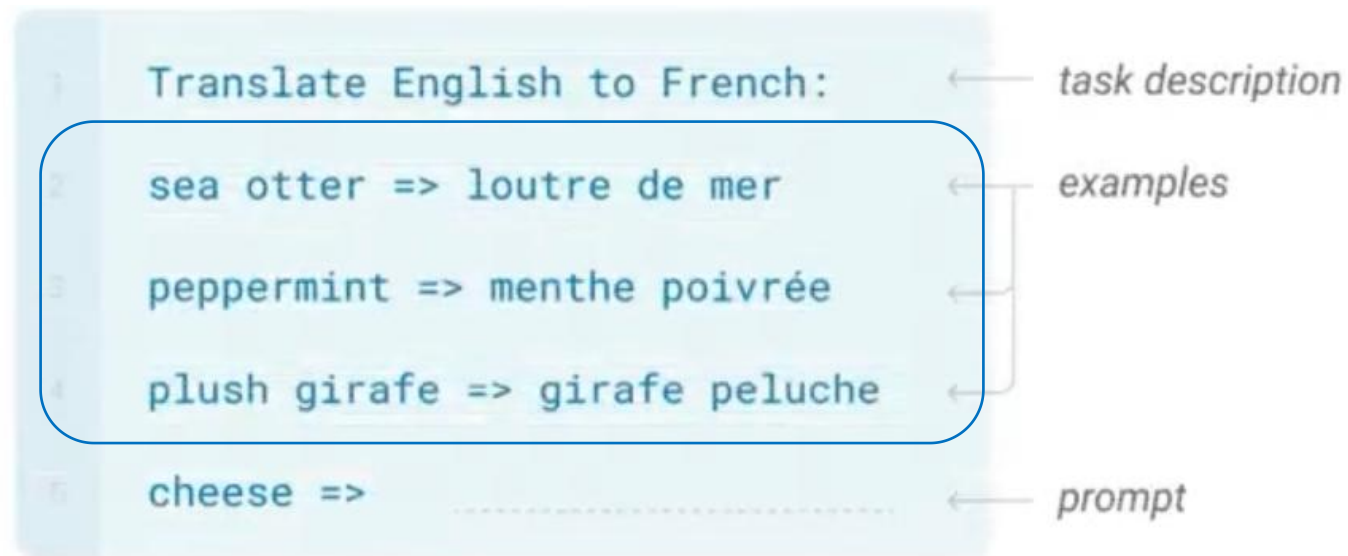
← *task description*

2 cheese =>

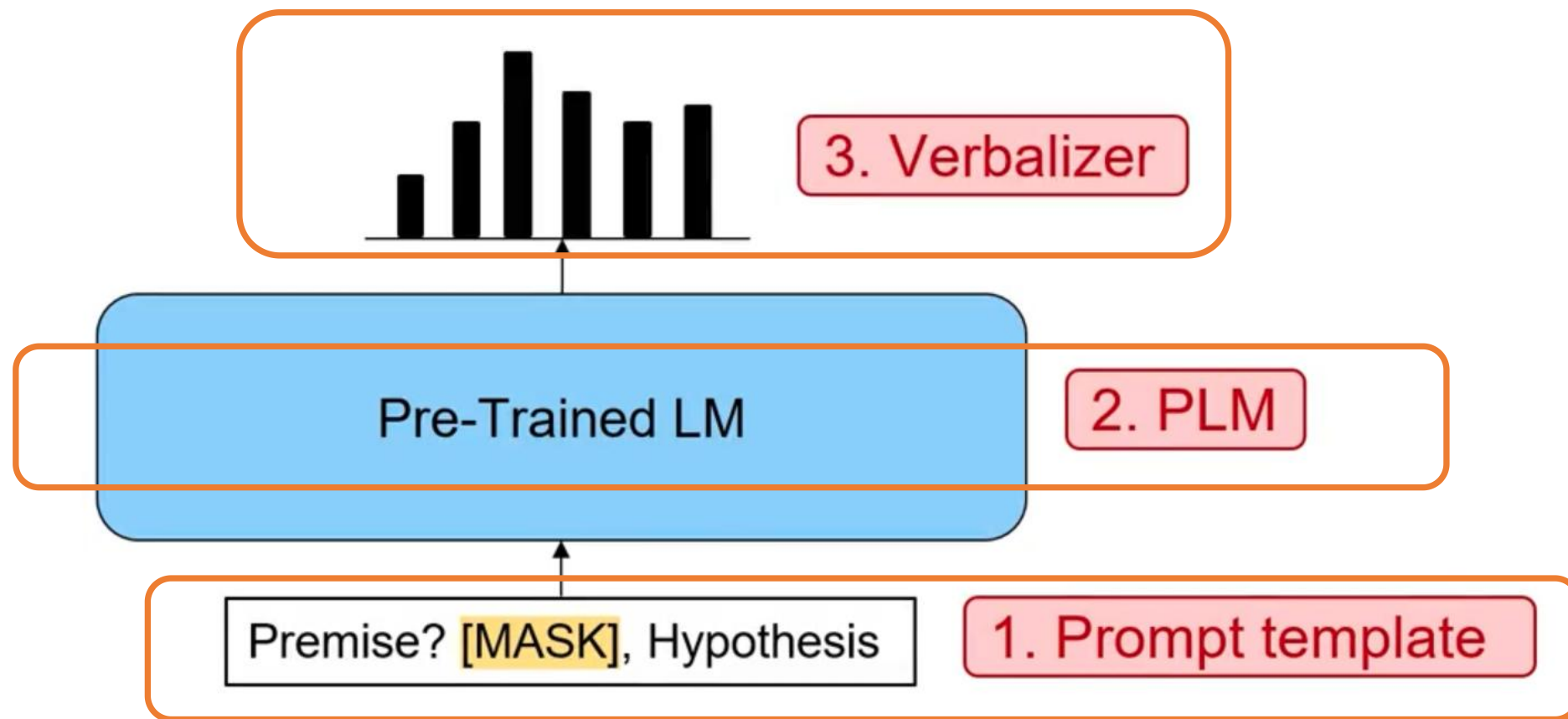
← *prompt (提示)*

- Zero-shot Prompt learning
- Assume a powerful **PLM** to solve your tasks

🟡 Few-Shot



- **Prompt-finetuning**
- Provide a few examples to better tune the model
- Activate the **PLM** to do better on your tasks



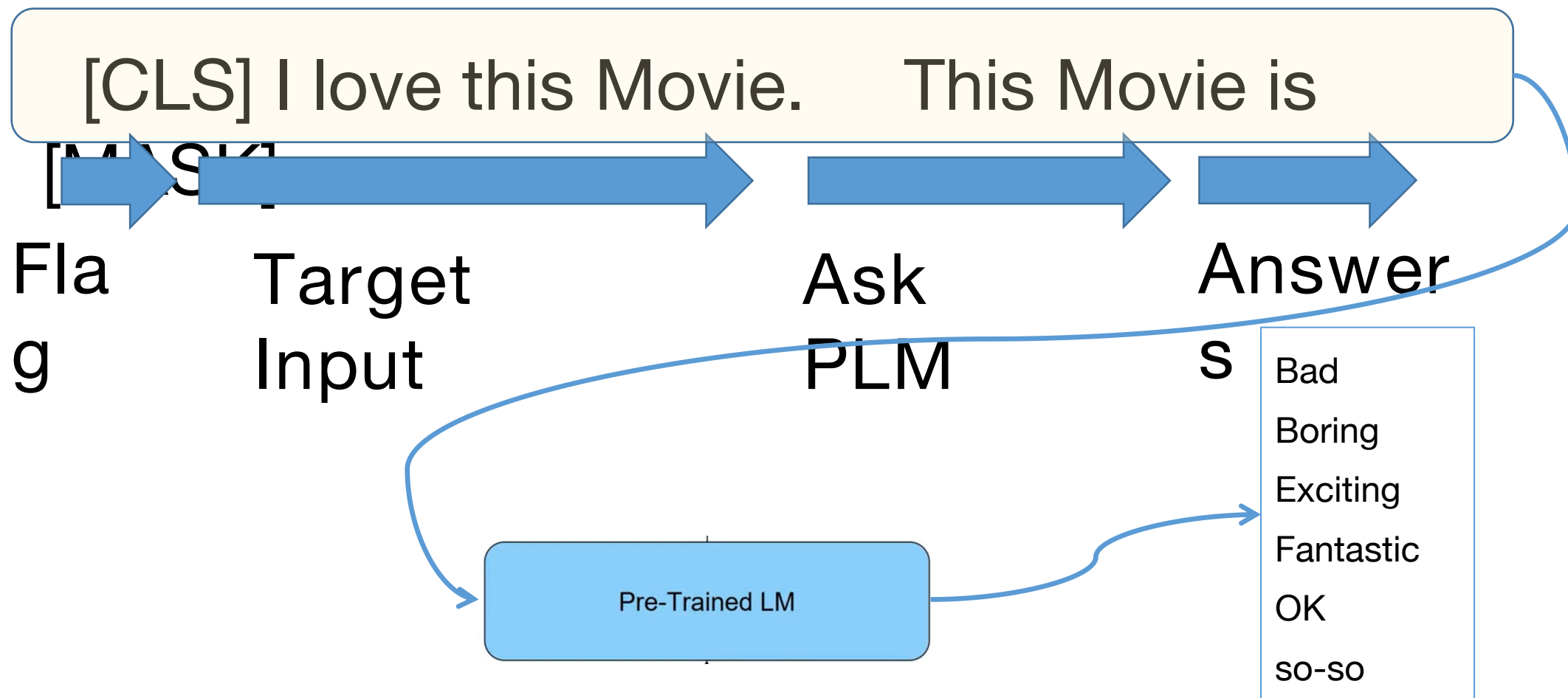
Methodology

- Reformulate a task by constructing a language template ;
- Use **Pre-trained Language Model (PLM)** to produce NLg answers
- Map the NLg answers to the task labels (0, 1)

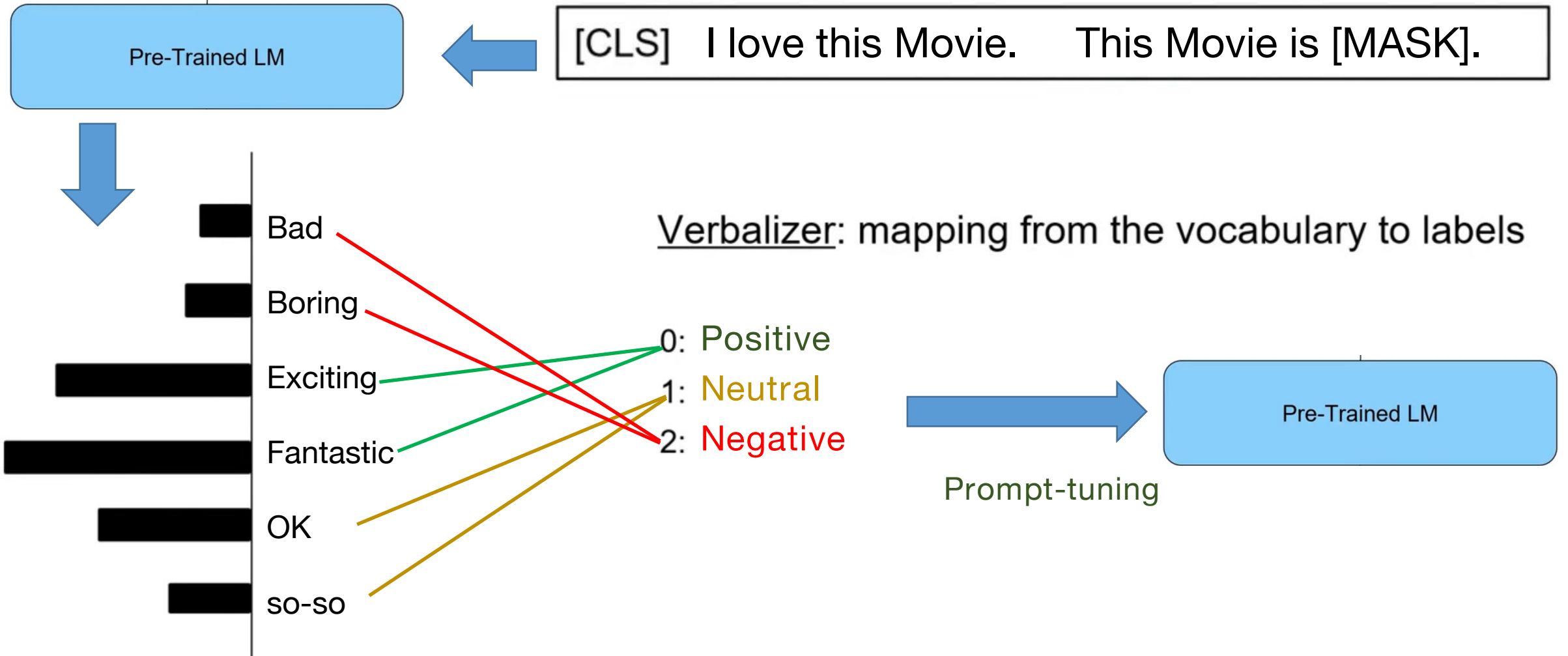
Example -- Sentiment Analysis

- Sentence in NLg: I love this Movie
- Is my attitude Positive or Negative or Neutral ?
- The class is a 3-way classification
 - 0. Positive
 - 1. Neutral
 - 2. Negative

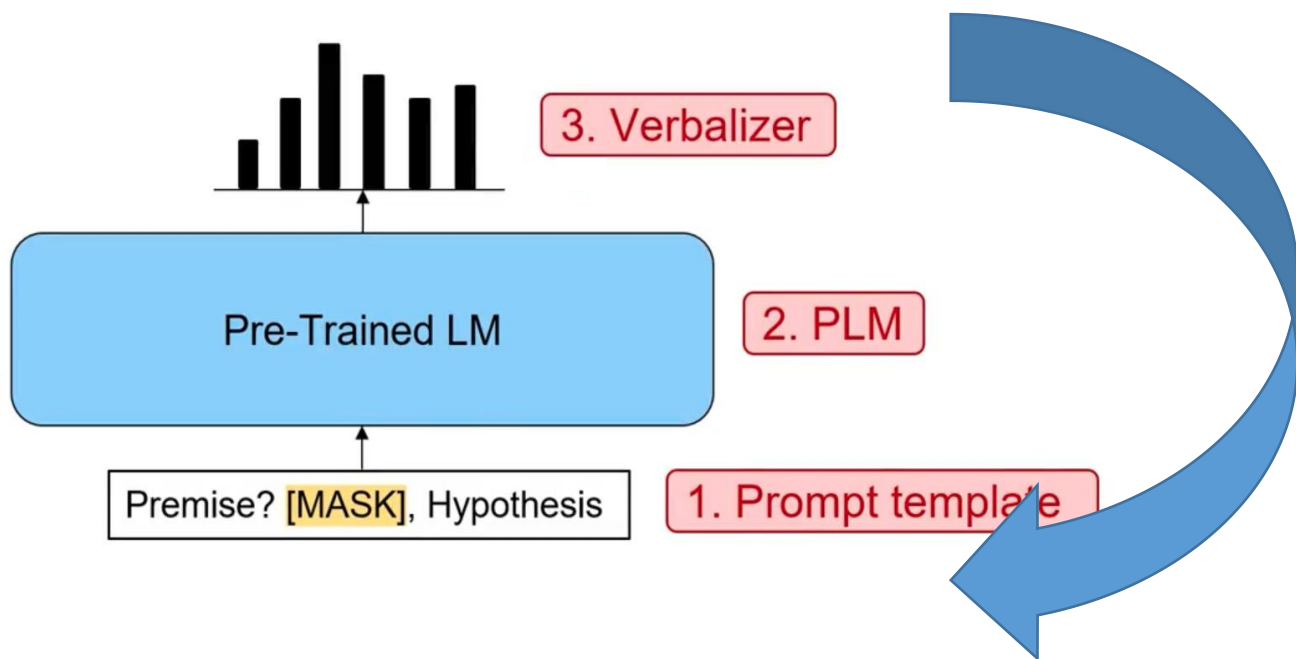
1. Prompt Template



2. PLM answers + 3. Verbalizer



Prompt-tuning with few-shot data



No reason to watch. It was [terrible].

You cannot miss it. I think it was [great].

This is best-seller. A [fun] one.

Prompt-tuning v.s. Fine-tuning

- **PL** is parameter efficient (no additional layers to finetune)

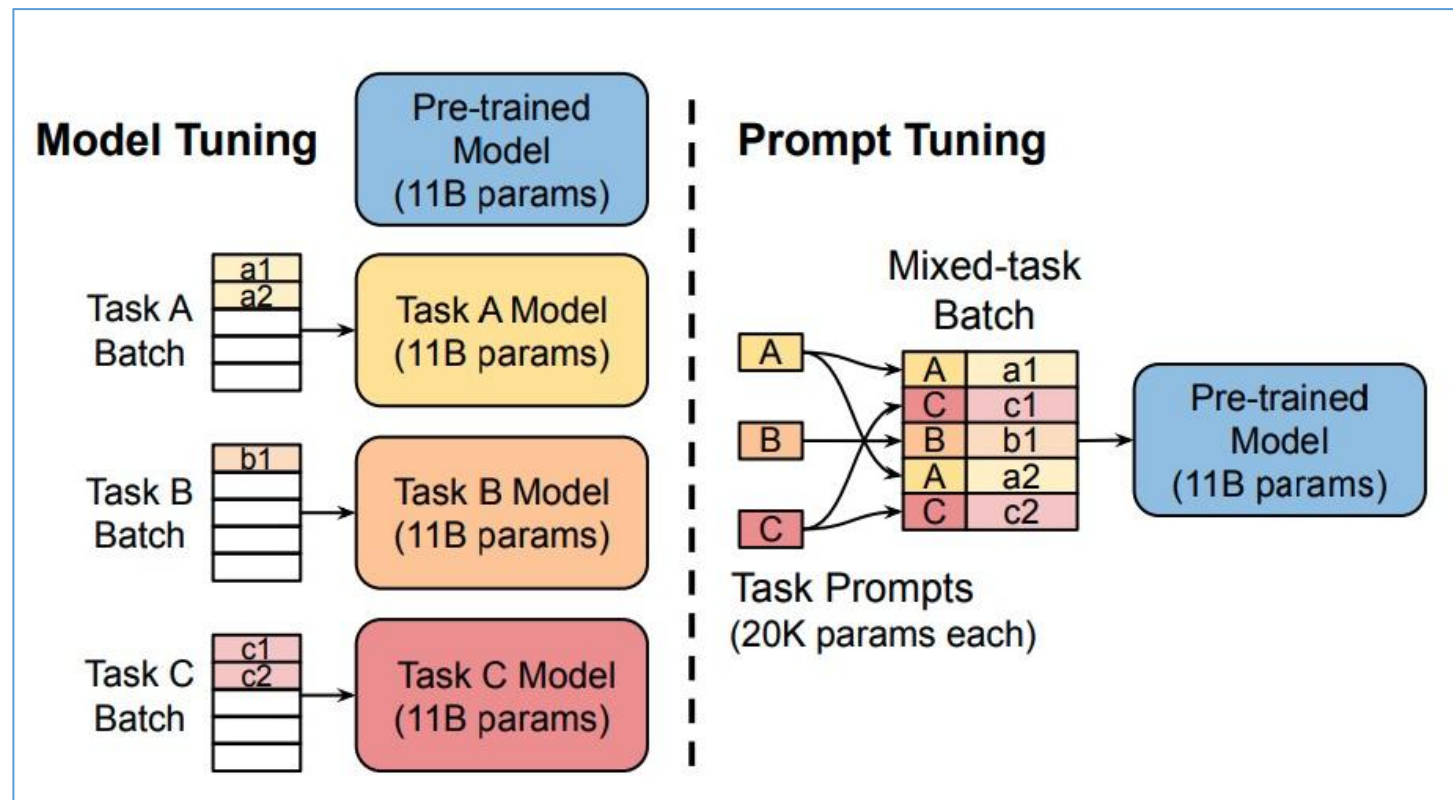
- zero-shot learning

- few-shot learning

- **PL** alleviates over-fitting

- similar inputs (as templates)

- won't affect pre-trained knowledge



The Power of Scale for Parameter-Efficient Prompt Tuning, 2021.

Thank you !

Thank you ! Q & A

- Which methodology to choose to use ?
- What are the interesting tasks ?

- <https://lilianweng.github.io/posts/2018-11-30-meta-learning/>
- <https://mp.weixin.qq.com/s/iDXAdmheiJfZyCSdfQxodQ>